



The Perfect Consult: Developing Solutions Which Meet Regulatory Requirements

FMConsult is an independent regulatory, product development, and operational and investment risk consultancy. The firm is committed to assisting clients in aligning financial services processes with regulatory requirements. We profile the highly regarded company as we aim to find out about the expert services that the team offers in order to provide excellence to clients.

Boasting an international reputation, FMConsult is headquartered in London with additional offices in Ireland and the USA, along with associates in Italy. Possessing a wealth of experience, the team has made it its mission to provide solutions to clients so that they can continually meet regulatory requirements.

Featuring in CV's Corporate Excellence Awards 2018 as the Leading Expert in Asset Management within the UK, the company provides risk and compliance solutions which enable senior management of financial services firms to demonstrate that both they and their firm are currently aligned with regulatory requirements, and will continue to be so.

Typically, the company deals with a vast array of clients within the wholesale firms and funds industry, but the team also possess the capacity and experience to assist retail and market infrastructure companies. Just some of the work that FMConsult has done for its clients includes Corporate Authorisation, Compliance Outsourcing and Interim Resources, as well as conducting due diligence and expert witness assignments. Furthermore, the team also has exceeded client expectations in areas such as Fund establishment and authorisation, along with Consumer Credit and Compliance Monitoring and in-depth investigations.

Group Managing Director Dallas J McGillivray is an experienced international regulatory and business manager. Dallas is the previous Global Head of

Compliance and Operational Risk at a major global asset management company for all business outside of the Americas, having 30 years of compliance experience within the UK and globally covering both retail and institutional areas. Adding to his excellent track record, Dallas also has an impressive skillset after spending over eight years with Coopers & Lybrand across four different countries, covering audit, accounting services, insolvency and regulation. Essentially, Dallas is a specialist in providing corporate governance and operational risk services to asset management and investment companies.

Ultimately, the future looks bright for FMConsult, and with the firm looking to capitalise on its ongoing success by exploring future expansion options, there are no significant barriers in the



way of FMConsult achieving its overall mission of helping its clients keep up and adapt accordingly to the ever changing regulations throughout the industry.

FMConsult
compliance solutions

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