



FLEMING MCGILLIVRAY & CO
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Experienced international regulatory and business manager. Previous Global Head of Compliance and Operational Risk at a major asset management company for all business outside of the Americas with circa 30 years experience in UK and global regulatory issues, covering both retail and institutional. Over 8 years with Coopers & Lybrand (now PWC) in four different countries covering audit, accounting services, insolvency and regulation. Specialist in providing corporate governance and operational risk services to asset management and investment companies.



UNITED KINGDOM ■

Established in 2004, FMConsult is a specialist compliance, collective investment schemes, and operational and investment risk management consultancy that services a whole host of global financial services firms. We have made it our mission to provide solutions to our clients so that they can continually meet regulatory requirements.

Headquartered in London, FMConsult has offices in Ireland and USA, with an associate in Italy. Our highly experienced team have first-hand experience in asset management, wealth management, financial regulations and investment risk which provides us with the all-round expertise you would require from a consultancy. To learn more about our senior team, please refer to www.fmconsult.co.uk

FMConsult is an independent regulatory, product development and operational risk consultancy committed to assisting clients in aligning financial services processes with regulatory requirements. We provide risk and compliance solutions that enable senior management of financial services firm's to demonstrate that they and their firm are currently, and will continue to be, aligned with regulatory requirements.

We major on the wholesale firms and funds industry but also have the capacity and experience to assist retail and market infrastructure companies. Examples of the types of work performed for our clients to date include:

- Corporate Authorisation
- Compliance Outsourcing and Interim Resources
- Due diligence & expert witness assignments
- Fund establishment & authorisation
- Consumer Credit
- Compliance Monitoring and in-depth investigations